

NYRA Condition Book Racing Rules

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Directory

New York Gaming Commission	(917) 599-9109
New York Thoroughbred Horsemen's Association	(718) 848-5045
New York Thoroughbred Breeders	(518) 587-0777
Main Switchboard	(718) 641-4700
Racing Office – Entries	Ext 4241
Racing Office Fax	(518) 583-3384
Horsemen's Relations	(718) 659-2205
Horsemen's Bookkeeper	(917) 599-9155
Stable Office	Ext. 4373
Horse ID	Ext. 4229
Clocker – Main Track	(929) 658-1551
Clocker - Training Track	(929) 658-1550
Steward – NY Gaming Commission	(917) 599-9109
Steward – Jockey Club	Ext. 4711
Steward – NYRA	Ext. 2308
Receiving Barn	(929) 769-0047
Credentials	(718) 659-2236
Veterinary Department Director	(718) 659-2230
Paddock Judge Morning	(718) 659-2208
Jockey Club Office	(718) 296-5146

Training Information

Belmont

TRAINING TRACK

5:30 AM to 10:30 AM (Harrowing breaks at 7:15 and 9:00)

There will be a 10-minute window reserved for horses recording an official timed workout after the first break.

PONY TRACK

5:30 AM to 10:30 AM

JOGGING BARNS

5:30 AM to 10:30 AM

GATE SCHOOLING – Wednesday through Sunday

Training Track – 7:45 AM to 10:00 AM

Licensing and ID Requirements

Only Racing Participants with a NYRA identification badge marked “stable access” shall be permitted in the stable area, unless a temporary pass is obtained from the Security Department. The NYRA identification badge shall be carried at all times without exception. NYRA reserves the right to penalize Racing Participants who fail to comply with this section and/or to seize expired badges, as well as to exclude a Racing Participant with an expired badge from the Racetrack property until a current badge is obtained. (A current New York State Gaming Commission license must be obtained before NYRA will issue an identification badge.)

Trainers employing unlicensed help will be subject to disciplinary fines. Employees with expired licenses will be held accountable and will be subject to fines, in addition to their employer.

NYRA Equine Safety Initiatives

Horses will be limited to four starts in a rolling eight-week period.

Horses 10 years of age or older are ineligible to be stabled, train, record an officially timed workout or race at NYRA tracks.

Maidens 6 years of age or older are ineligible to be stabled, train, record an officially timed workout or race at NYRA tracks.

Prohibited Practices

Refer to HISA Rule 2270, Prohibited Practices and Requirements for Safety and Health of Covered Horses, regarding prohibited practices, including but not limited to pin-firing, freeze-firing, shock wave therapy, neurectomy, devices, acupuncture, and various procedures, substance applications and injections.

Entries

No entry will be received except upon the condition that all disputes, claims, and objections arising out of racing or with respect to the interpretation of the conditions of any race shall be decided by the Stewards, and their decision upon all matters shall be final.

The NYRA SVP of Racing & Operations, Sr. Director of Racing, Racing Secretary and the NYRA Chief Examining Veterinarian each have the sole right to refuse the entry of any horse with which they have any nature of concern.

At the discretion of the Stewards, and without notice, the entries of any person, or acceptance of transfer of any entries, may be refused.

Partners, Stable Names, Authorized Agents and Racing Colors must be registered with the Jockey Club before entries are made. Any stable name that has not been completed by entry may not be used.

A horse whose managing owner is a partnership cannot be entered or run in the name, whether real or a stable name, of an individual partner unless that individual's interest or property in the racing qualities of that horse is equal to at least 25%.

Entries close at such time that the Racing Secretary deems that entries have been taken, unless otherwise specified in the condition book.

All First Time Starters must have papers or digital certificate on file and a gate card in order to be eligible to start. Additionally, registration papers will not be released from Horse ID without trainer approval.

The Jockey Club registry issues digital foal certificates for foals of 2018 and later. Digital certificates are stored on the Registry's website at registry.jockeyclub.com. Assign digital certificated to Aqueduct as the certificate manager. For 7 year olds and up, continue to deliver paper certificates to Horse ID. If you have questions see the digital certificate FAQ's at registry.jockeyclub.com or contact the registry office by email at inquiries@jockeyclub.com

All trainers are required to follow NYRA's procedures for obtaining pre-race vet exams from private veterinarians per the current 2026 Training and Racing Agreement. Failure to turn in vet exams by the appropriate time may result in sanctions from the Stewards. The 2026 Agreement and the Vet Form can be obtained in the Racing Office or on the NYRA website under the Horsemen's page.

Owner & Trainer Responsibilities and Change in Ownership

No person shall enter or attempt to enter a horse for a race unless such entry is a bona fide entry made with the intention that such horse is to compete in the race for which the entry is made.

Owners and trainers must be careful to claim allowances at time of entry and are solely responsible if a horse starts with the incorrect weight and is therefore disqualified.

In all overnight races no trainer or owner may enter more than two horses per race per designated surface, excluding stakes. Two additional entries may be made for an alternate surface. If a race is to be divided a trainer may enter two horses per surface per division. If a race is divided, horses with 25% or more common ownership are separated first, then horses trained by the same trainer, with the balance of the horses split randomly into the divisions of the race.

Refer to NYSGC 4026.7, Assignments Restricted, regarding restrictions on transferring or assignment of ownership interest.

Per NYSGC 4038.4 If a horse is claimed it shall not be sold or transferred to anyone wholly or in part (including trainer transfers), except in a claiming race, for a period of 30 days from the date of the claim.

A notarized bill of sale with notarization for both buyer and seller will be required by the NYRA Clerk of the Course signed by in any change of ownership. No agent signatures permitted. No change of ownership is permitted after the close of entries. Bills of sale must be on file prior to entry. Failure to comply will necessitate a scratch from the board of stewards.

A foreign bred horse must have the horse's country code on a notarized bill of sale for it to be recognized as a valid change of ownership.

Optional Claiming Races

In Allowance Optional claiming races allowance horses will be preferred. In all Starter Optional Claiming and Maiden Auction Optional Claiming races all horses will have equal preference regardless of the condition they are entered for.

In all Optional Claiming races, once the overnight is out, there can be no change in regard to the condition the horse was entered for (allowance conditions or claiming price).

Re-Entering and Related Horses

No horse is allowed to re-enter at a NYRA track while considered an In-Today horse at NYRA unless permission is granted by the Racing Secretary and the Board of Stewards. If approval is granted, a re-entered horse must scratch out of their original race and run in the second race.

Failure to obtain permission to enter and entering outside of New York while considered an in-today horse will result in a fine and placement on the NYRA Steward's list for period of 10 days and may also result in a loss of stall space.

Stakes races are excluded from this rule however permission must still be granted for re-entry.

Upon approval of the Racing Secretary and the Board of Stewards, a horse may enter from an overnight race to a stake without being and automatic scratch. However, the horse will be an automatic scratch if moving from a stake to a carded overnight race.

Pursuant to NYSGC 4025.10 Limitations on Entries, the following are in effect:

- 1) In all overnight races no same owner entry will be allowed to draw into the body of a race to the exclusion of a separate owner entrant. In the event that an owner has two horses entered in a race that would be considered related horses, the horse with the better preference date will be automatically preferred. If both horses have equal dates, the owner reserves the right to prefer one horse over another.
- 2) Trainers may not start a second starter in an overnight race to the exclusion of another trainer unless the other trainer has common ownership or the conditions of the race prefer one horse to another. Trainers will be given the option to declare their preferred starter.

- 3) Stakes races are excluded from the above. Condition eligibility (Graded Stakes winners, Graded Stakes placed, non-restricted stakes winners, then allowance condition) will establish preference in that order first and gross lifetime earnings will break any ties, unless specified in the conditions of the race. Allowance condition in stakes races shall not penalize horses which have only won restricted races, meaning a horse which is considered a state-bred/restricted 1x or 2x will receive the same preference as a horse that is an open (non-restricted) 1x or 2x.

Horses on the also-eligible list are not In-Today horses. Such horses may enter for the succeeding day and receive any preference to which they may be entitled, however if such horse gets in a succeeding race it will be scratched automatically from the race from which said horse was an also-eligible unless the second race the horse enters in is a Stake.

Any horse considered related per NYSGC 4025.10 to another horse in a race that scratches will be placed on a racing secretary list for a period of 15 days.

Silks

The managing partner must notify the NYRA Clerk of Course by time of entry as to which silks will be worn for a specific race for the first initial start at a NYRA track or if a change in silks is to be made.

Kelly Gecewicz, Clerk of the Course
(718) 659-2234
kgecewicz@nyrainc.com

All silks must be registerable with the Jockey Club for usage at a NYRA track pursuant to NYSGC 4041.1. A horse wearing substitute silks may be subject to a fine for silks registration non-compliance. Please contact The Jockey Club regarding registration of silks.

(718) 296-5146
jcsilks@jockeyclub.com

The managing partner must notify the NYRA Clerk of the Course, Kelly Gecewicz, by time of entry, as to which silks will be worn for a specific race, for the first initial start at a NYRA track or if a change in silks is to be made. If requested silks are not in the Jockeys' Room, substitute silks will be used.

New York Racing Association

Jockey Engagements

Refer to NYSGC 4025.33, Programming of Jockeys, regarding deadlines for naming a jockey, restrictions on jockeys named to multiple horses, jockey responsibilities, and claiming apprentice allowances.

Refer to NYSGC 4028.2, Change of Jockey, regarding the process for any jockey change.

Aqueduct Field Size by Distance

Main Track	3 and Up	2-Year-Olds
5 Furlongs	10	8
5.5 Furlongs	12	10
6 Furlongs	14	12
6.5 Furlongs	14	12
7 Furlongs	14	12
1 Mile	14	12
9 Furlongs	12	10
9.5 Furlongs	14	--
10 Furlongs	14	--

Outer Turf	No Rail	Rail at 12'	Rail at 24'	Rail at 36'
6 Furlongs	12	12	10	10
8 Furlongs	10	N/A	N/A	N/A
8.5 Furlongs	12	12	10	10
9 Furlongs	12	12	10	10
9.5 Furlongs	12	12	10	10
12 Furlongs	12	N/A	N/A	N/A

Inner Turf	No Rail	Rail at 9'	Rail at 18'	Rail at 27'
8 Furlongs	12	10	10	10
8.5 Furlongs	12	12	12	10
9 Furlongs	12	12	12	10
11 Furlongs	12	12	10	10
12 Furlongs	12	12	10	10

Field size in stakes races may exceed the restrictions in overnight races with approval of the Jockeys Guild and stewards.

In the event a race is moved to the main track at to a distance where there is a field size restriction and the race overfills with horses choosing to run, preference date will be the first consideration, horses of equal preference will be determined by blind draw. In races taken off the turf, the field size may expand to accommodate the maximum number of runners allowed on the main track for the off-the-turf distance.

Off The Turf Race Distances (Aqueduct Racetrack)

- 6 furlong turf races will be run at 6 furlongs on the main track
- 1 mile turf races will be run at 1 mile on the main track
- 1 1/16 mile turf races will be run at 1 mile on the main track
- 1 1/8 mile turf races will be run at 1 1/8 miles on the main track
- 1 3/16 mile turf races will be run at 1 3/16 miles on the main track
- 1 3/8 mile turf races will be run at 1 1/4 miles on the main track
- 1 1/2 mile turf races will be run at 1 1/4 miles on the main track

Eligibility and Preference Dates

Eligibility

"Closed races" are considered as those restricted to "Which Have Never Won \$20,000 Four Times Other Than Maiden, Claiming, Starter or State Bred" or less (Three Times, Two Times, One Time). For purposes of determining eligibility in closed races, a win in any Group/Graded race in any Part I or II country, regardless of purse value, shall be considered a win at or above the qualifying amount of \$20,000.

Starter Stakes or Starter Handicaps shall be considered starter races for eligibility purposes. Restricted (state-bred or sired) allowance races shall count as state-bred allowances for eligibility purposes, unless the restriction is larger than a state or province. (A race such as the Queen's Plate would count as an open allowance win).

Stalls will not be allotted nor entries accepted on any horses which have broken their maidens and have started for less than \$6,250, unless they thereafter finished (1st through 4th) for \$6,250 or more. Finishing (1st through 4th), in a starter allowance for horses which have started for less than \$6,250 will make horses eligible to run at the meet.

Maidens which have started in a maiden race of \$11,000 or less will not be eligible, unless after such start, that horse finishes 2nd, 3rd or 4th in a race greater than \$11,000.

For eligibility purposes, all 2-year-old and 3-year-old State-Bred/Provincial stakes races (including New York Stallion Stakes or comparable stallion/sired stakes races) will not count against eligibility in open allowance races.

Records in National Steeplechase Association races are kept separate from races on the flat, meaning a horse who has never won a race on the flat would be considered a maiden even if the horse has won a hurdle race.

Preference System

The preference (date) periods will regenerate at different times throughout the year.

- All dirt dates will regenerate January 1st, 2026.
- Open dirt dates will regenerate again on June 25th, 2026.
- NY Bred turf dates will regenerate on March 28th, 2026
- Open turf dates will regenerate on March 28th, 2026 and then again on June 25th, 2026.

All horses under the care of a trainer that has been allotted and is occupying stalls at NYRA or is training at NYRA facilities with an approved stall application at the beginning of a preference date period will receive the initial preference date. Horses under the care of a trainer who is allotted or approved stalls after a race meet has started will receive a preference date based on when the stall application has been approved and stalls occupied.

All shippers may receive the beginning preference date if their foal papers or digital certificate are turned into the racing office on or before the first day of entries impacted by such preference date reset. After the first day of entries, shippers will receive a preference date based off the entry date when the papers or digital certificate are turned in.

A horse with an assigned NYRA date that runs out of town regardless of surface or condition will receive a run date for that date in all categories.

Separate dates will be maintained for

- Open dirt
- Open turf
- New York Bred dirt
- New York Bred turf

A scratch date will be used for all categories except in the event of races being taken off the turf which will follow the policy outlined below:

Winners will be preferred in all non-maiden races. In conditioned claiming races, horses eligible for a non-winners of category would be least preferred if entering above that available condition. (a NW2 would be least preferred in a NW3 race, for example). Winners entered above their available allowance condition will be preferred over horses entered for a claiming price but will be least preferred if jumping an allowance condition and the race overfills with horses that are condition eligible. Allowance condition eligibility shall not penalize horses which have only won restricted races, meaning a horse which is considered a state-bred/restricted 1x or 2x will receive the same preference as a horse that is an open (non-restricted) 1x or 2x.

Order of Preference. Earliest date prevails. If the race in question overfills with horses of equal date preference, the order of preference for equal dates shall be entry, run, scratch.

A horse that has been drawn on the also-eligible list may retain its date only if there is not an opportunity to draw into the race the day before.

A horse that runs in a NYRA Stake will retain its date. Running in a NYRA stake will guarantee

that the horse at worst will have an E date for the day of the stake throughout the remainder of the date period.

Date preference does not supersede the rules specified in the conditions of a race.

All horses in the body of a race that is cancelled will receive a special preference for the category the horse was entered in.

Turf to Dirt Date Preferences

1. Horses in the body of the race who elect to run on the dirt will receive a star date. That star date is available to be used the next time that horse enters any turf race. A star date supersedes any other date but does not supersede the conditions of a race, a horse who has received a special star date for a cancellation, or the same owner entry rule. Star dates are not allowed to be carried over into the new preference date period.
2. Horses in the body of the race who scratch off the turf will retain the dates they previously held on the turf. Those horses will receive a scratch date in all dirt categories. Horses who are scratched by the veterinarians and subsequently placed on a vet's list or by the reason of the Stewards will be given a scratch date in all categories.
3. An also-eligible who elects to run on the dirt will receive a star date. That star date is available to be used the next time that horse enters any turf race. A star date supersedes any other date but does not supersede the conditions of a race, a horse who has received a special star date for a cancellation or the same owner entry rule. Star dates are not allowed to be carried over from one preference date period to another.
4. An also-eligible who scratches because a race is off the turf race will retain the date they held prior. Also-eligibles who are scratched by the veterinarians or by the reason of stewards will be given a scratch date in all categories.
5. A Main Track Only who draws into a field and runs will receive a run date for the day of the race.

Altering Sex of Horse

Any alteration to the sex of a horse from the sex recorded on the certificate of foal registration shall be reported to the Racing Secretary's office and the NYRA Horse ID department, if the horse is entered to race at any NYRA race meet.

If a racehorse is gelded or castrated on the premises of any NYRA racetrack, or under the jurisdiction of the State of New York, the trainer shall report the alteration within 72 hours.

If a racehorse is gelded or castrated off the premises of a licensed racing association, or other

facility under the jurisdiction of the State of New York and the horse has previously entered to race at any NYRA race meeting, the owner and/or trainer shall report the alteration before the horse is next entered to race.

A report of gelding or castration will include the name of the veterinarian performing the alteration and the date of the alteration and shall be recorded on the foal certificate and the official horse identification record of the horse.

A trainer who enters a horse, or who causes a horse to be entered on his behalf, is responsible for ensuring that the true sex of the horse entered is correctly stated on the foal certificate on file with the NYRA Horse ID department. Failure to comply with this will lead to a minimum fine of \$250. This fine is at the discretion of the stewards.

If the true sex of a horse is not correctly stated in the official program for the race in which the horse is entered, the trainer of the horse shall be subject to a minimum fine of \$250. This fine is at the discretion of the Stewards if mitigating circumstances can be demonstrated by the trainer.

Report of Altering of Sex of Horse forms are available in the Racing Office and with the Clerk of the Course. They are also available online on the NYRA website in the horsemen's section.

Claiming Races

Refer to the NYSGC rules in Part 4038, Claiming Races, regarding restrictions and requirements for claiming.

There will be a 1.5% Aftercare Assessment on all claims. The 1.5% must be on account at time of claim or the claim will be considered void. These funds will go to accredited Thoroughbred Aftercare Facilities.

Trainers making a claim must be stabled with horses on NYRA grounds with an approved stall application or have an approved stall application enabling trainers to train on NYRA grounds for the current race meet on file. This does not apply for owners making a claim under NYGSC rule 4038.1 (d).

As a courtesy to horsemen involved in multiple claims for a specific horse, the Racing Office will wait at least 3 minutes post race or until all participants have arrived before commencing with the shake. It is the horsemen's responsibility to have a representative at the shake in a timely manner. Non-representation is not grounds for voiding a claim and may be subject to penalty.

All partners involved in the claim of a horse must be written on the claim slip with their corresponding percentage of ownership at the time of the claim; partners cannot be added or changed in any other manner until the 30-day period has elapsed.

Any attempt to claim a foreign-bred horse must include the correct country code for that horse on the horse name line and the reference horse line. Failure to do so will result in the claim being voided.

Trainers are responsible for the transfer of records for claimed horses per HISA Rule 2261, Transfer of Claimed Covered Horse Records.

Void Claim

Refer to HISA Rule 2262, Void Claim regarding: post-race veterinary observation, death or euthanasia of a claimed horse, claimed horses placed on the Veterinarians' List, electing out of a void claim, and positive post-race test results.

Waiver Claiming Option

Refer to HISA Rule 2263, Waiver Claiming Option regarding eligibility requirements for declaring a horse ineligible to be claimed, including waivers in two consecutive races.

Scratches

Scratch time will be 10:00 am on the day of the race or as set by the NYRA Steward in the event of special post times which are prior to 12:00pm. Scratches must be called into the Stewards' office at extension 2308. All scratches must be approved by the Steward on scratch duty that day. Scratches made after scratch time in overnight races may result in sanctions from the Stewards. No scratches will be disseminated prior to scratch time.

In all overnight races the field may scratch down to ten (10) betting interests by scratch time with no penalty. In the event that there are multiple scratches in a race, vet scratches will be taken out first, non-vet scratch horses will be given second preference to be released from running. If there are multiple non-vet scratches a draw will be held to determine who is scratched from the race. All scratched horses except off the turf scratches will receive a scratch date in all categories. Scratches that bring an overnight race below ten betting interests will result in the scratched horse being placed on a stewards list for 10 days.

Main track only entries can be held in a race past the designated scratch time at the Steward's discretion. No other also-eligibles will be held past scratch time. The Stewards reserve the right to withdraw or scratch any horse which, in their opinion, does not qualify for the race in which it is entered.

Veterinary

Vaccines and Health Certificates

All horses entering a NYRA facility must meet the requirements outlined in HISA Rule 2143, Racehorse Monitoring, including but not limited to:

- Certificate of veterinary inspection within the prior 5 days, or fewer days if high risk situations dictate;
- EEE/WEE, WNV, rabies, and tetanus vaccinations within the last 365 days;
- Influenza and Rhinopneumonitis vaccinations within the prior 180 days, or fewer days if high risk situations dictate; and
- Negative equine infectious anemia (Coggins) test within the last 365 days or in a shorter period of time if high risk situations dictate.

All horses on NYRA grounds are required to have a current Coggins (with tattoo or microchip). Please check the dates to prevent scratches for an expired Coggins. Trainers are responsible for Coggins submissions.\

Pre-Race NYRA Veterinarian Exams

All starters will be subject to a pre-race morning examination by NYRA Veterinarians. Refer to HISA Rule 2141, Veterinary Inspections, and HISA Rule 2142, Assessment of Racing Soundness, for process and requirements.

Veterinarians' List

Refer to HISA Rule 2240, Veterinarians' List, for general information regarding reasons and minimum time requirements for horses placed on the Veterinarians' List. Horses placed on the Veterinarians' List for any condition other than illness are not permitted to perform a high-speed work for seven (7) days.

Unsoundness

Horses scratched for unsoundness prior to the start of a race or with observed unsoundness post-race shall be placed on the Veterinarians' List for a minimum of 14 days, or longer if the horse has been placed on the list for Unsoundness previously within the last 365 days per HISA Rule 2241, Duration of Stay on the Veterinarians' List. The horse will not be eligible to work off the Veterinarians' List until the day after the required time on the Veterinarians' List has been met.

Horses vanned off the racetrack after unsaddling or from the test barn may be designated as unsound, physically distressed, or medically compromised and will need to satisfy the same requirements for removal from the Veterinarians' List as horses designated as unsound.

Illness or Injury

Horses placed on the Veterinarians' List as sick or injured shall stay on the Veterinarians' List for seven (7) days. The horse can be entered to race while on the Veterinarians' List provided sound health has been satisfactorily demonstrated to the NYRA Veterinarian and the race in which it is entered is on the 8th day or thereafter. Such horses are least preferred in overfilled races.

Horses placed on the Veterinarians' List as sick are ineligible for work for 72 hours from the date of the race the horse is scratched from.

Trauma

Horses scratched at the gate for trauma or suspected trauma shall be permitted to enter future races at the discretion of the NYRA Veterinarian who shall evaluate the horse's soundness on a case-by-case basis.

Requirements for Removal from the Veterinarians' List

Refer to HISA Rule 2241, Duration of Stay on the Veterinarians' List for a complete list of required minimum time for each Veterinarians' List reason, including increased time for repeat appearances.

All horses that are pre-race veterinary scratches, including for illness, must have a declaration from a trainer's Attending Veterinarian entered into the HISA record under record type "Mandatory Attending Vet Inspection" to be removed from the HISA Veterinarians' List and be eligible to run.

After a horse is removed from the Veterinarians' List, if 60 days or more pass without running, the horse will be placed back on the List and must meet all requirements for removal again. This applies to all horses on the Veterinarians' List whether for unsoundness, unraced until four years of age, or horses with a layoff of 365 days or longer.

Working Off the Veterinarians' List

New York Racing Association

All works for removal from the Veterinarians' List shall be by appointment only with submission of a request to work off the Veterinarians' List form no fewer than 72 hours prior to preferred date to work.

Workouts at NYRA tracks are reserved for horses stabled on NYRA property. Additionally, workouts at NYRA tracks may be permitted for horses working to be removed from a NYRA initiated list with the approval of the Racing and/or Veterinary Department.

Horses must meet same workout requirements as entry in order to be eligible to work off the Veterinarians' List.

Horses working to be removed from the Veterinarians' List must work at least:

- 1) Four Furlongs in an official time of :52 or better; or,
- 2) Five Furlongs in an official time of 1:03 or better.

The horse must demonstrate racing soundness to the NYRA Veterinarian before, during and after the work.

A post-work blood sample shall be collected by the NYRA Veterinarian and will be subject to race-day requirements per HISA Rule 2242, Removal of Covered Horses from the Veterinarians' List. Horses found to test positive will remain on the List.

Recency Lists

More than 180 Days: Horses which have not started in more than 180 days or less than 365 days must be presented and approved to race by the NYRA Veterinarian prior to racing.

Refer to HISA Rule 2142, Assessment of Racing Soundness, regarding layoff report requirements for horses that have not raced in 150 days or more.

Refer to HISA Rule 2240, Veterinarians' List regarding horses that have been off for more than 365 days and horses that make their first career start after January 1st of their 4-year-old year.

Attending Veterinarian Scratches

Attending Veterinarians who scratch a horse for vet reasons must complete the Veterinarian Scratch Form provided by NYRA in its completion and fax or email to the NYRA Steward's office. The form can be downloaded on the NYRA website in the Horsemen's section.

Attending Veterinarian Scratch Line is 516-325-2386.

Fax (516) 325-2379 or veterinaryscratches@nyrainc.com

Medication and Testing

Under HISA's Anti-Doping and Medication Control Program, Out-of-Competition Testing will be administered by the Horseracing Integrity & Welfare Unit (HIWU). Full details of HIWU's Out-of-Competition Testing, including samples, substances and methods, is available at HIWU.org.

TCO2 Testing

Refer to HISA Rule 4221 regarding prohibition of Alkalinization or Use/Administration of an Alkalinizing Agent. Refer to HISA Rule 5400 and HISA Rule 5430 regarding pre-race and post-work TCO2 testing.

Joint Injections

Refer to HISA Rule 4222, Intra-Articular Injections, regarding stand-down times for races and

works.

Shock Wave Therapy

All Shock Wave Treatments taking place on NYRA property must be performed between the hours of 11:00 am and 4:00 pm. NYRA security personnel must be present at the time of the treatment. Appointments must be set up 48 hours in advance with NYRA Steward Victor Escobar.

Refer to HISA Rule 2272, Shock Wave Therapy, for additional information regarding restrictions, stand-down times, and violations.

Administration of Lasix (Furosemide)

All 2-year-old races and stakes races will be run Lasix-free.

No Lasix permitted within 48 hours of a stakes race.

For those horses which have never run on Lasix shipping in from out-of-state, the certification form must be filed with the Lasix Coordinator located in the Racing Office prior to day of entry.

A horse which is eligible for the administration of furosemide may be removed from the list, upon authorization from the Stewards. Certification must be filed with the Lasix Coordinator prior to day of entry.

Lasix certificates and Off Lasix certificates must be submitted PRIOR to day of entry. Incompletely or inaccurately filled out slips will be rejected.

Kelly Gecewicz, Clerk of the Course
(718) 659-2234
kgecewicz@nyrainc.com

NYRA veterinarians will administer Lasix (furosemide). Per NYSGC 4043.2(b)(6), Administration of Furosemide, Lasix administration is restricted to a single intravenous injection of 3-10 ccs of Lasix 4-4 ½ hours before post. If at the time of administration, the trainer or a representative does not communicate the desired dose of Lasix when asked by the NYRA veterinarian then a default dose of 5cc's (250mg) will be administered.

Any horse which is eligible for the administration of furosemide must be present on the grounds of the racing association no fewer than four hours prior to scheduled post time of the race in which the horse is scheduled to compete. Trainers are strongly urged to make all the necessary arrangements when shipping in to run. A horse which is not present at least four hours prior to post time or which has not received the administration of furosemide pursuant to this rule shall be ineligible to start. A member of the trainer's staff must be present for a horse to receive Lasix on race day.

Stabling

A stall application is required for every person seeking to be stabled at NYRA facilities.

The New York Racing Association reserves the right to refuse stabling space, to reduce the number of stalls assigned and/or change the location of stalls assigned, or to refuse the acceptance of an entry in any race for any reason or cause, and without notice to the trainer.

New York Racing Association

Trainers agree to abide by all rules and regulations of the New York Racing Association, the New York Gaming Commission, and the Horseracing Integrity and Safety Authority at all times.

Stable locations and dormitory space are assigned to trainers. All damage and debris, due to employee actions or neglect, will be invoiced back to the trainer

Trainers agree to vacate stalls and move all horses, equipment and personnel, upon request, from NYRA property within a reasonable time.

The New York Racing Association reserves the exclusive right and sole discretion to enter, modify, alter or change the physical condition or use of any of its facilities, wherever located on its premises. Permission granted to any owner, trainer or jockey or his agents, servants or employees to use NYRA's facilities does not constitute a lease of such facilities. NYRA maintains the sole interest in and exclusive control of its premises and said facilities.

Kristen Mason- Barn Area Supervisor
(929) 767-2912

Surveillance

By entering any horse(s), owner, trainer, their respective agents, employees and affiliates ("Entrant") acknowledge and agree that NYRA has the right to inspect and maintain surveillance on all horses located at NYRA facilities, including, without limitation, horse's stall, barn and all grounds in general proximity to the physical location of the horse as NYRA selects and deems appropriate in its sole discretion.

Shipping In

Trainers are advised that all horses shipped to any NYRA track must have permission from the Racing Secretary's office before they will be admitted on the grounds.

Before shipping to a NYRA facility please contact the Stable Superintendent for stabling information.

All horses shipping to a NYRA facility must have a current health certificate (within 5 days) and a negative Coggins test dated within 12 months of entry. Horses will not be permitted entry without such proof. An accurate microchip or tattoo number must be on Coggins to be allowed to run. Please check the dates to prevent scratches for an expired Coggins. Trainers are responsible for Coggins submission. Refer to HISA Rule 2143, Racehorse Monitoring, regarding current requirements for health certificates, vaccinations and Coggins tests.

Race Day Ship In

In addition to the above requirements, horses shipping in to race must be on the grounds no later than 9:00 AM; earlier arrival may be required depending on post-time and/or Lasix administration. Horses may not arrive without steward's permission prior to 6:00 AM on race day to Aqueduct.

Horses shipping in from a farm to race must meet all race day requirements. Foal certificates must be on file with Horse Identification no later than the arrival date of said horse.

Equipment

All articles of equipment in which a horse races with must be in their proper position when the

horse leaves the paddock for the post.

Per NYSCG Rule 4033.8, only equipment specifically approved by the stewards shall be worn or carried by a jockey or a horse in a race. No equipment change (including shoes) will be allowed once the overnight is published.

Rider Safety Equipment

Properly secured helmets and vests must be worn while on horseback. Refer to HISA Rule 2293, Equipment, for acceptable helmet and vest specifications.

Blinker Changes

Blinker changes should be reported at time of entry and will be published on the overnight and in the official program.

Horseshoes

Refer to HISA Rule 2276, Horseshoes, regarding current restrictions on traction devices, rim height, and forelimb/hindlimb shoes.

A violation of the shoe policy will result in a fine and possible suspension. Horses that report to the paddock not in compliance with shoe regulations will be scratched.

Any shoe change involving bar shoes and aluminum pads must be reported at time of entry. These changes will be published on the overnight and in the official program.

NYRA Workout Criteria

Entries will not be accepted for any horse requiring a workout.

All horses are to be registered as covered horses with HISA prior to their first timed workout.

All horses entering the track must be identified by name (unnamed by dam), distance to be worked and name of trainer. Failure to report this information to the gap attendant may result in a fine. It is solely the trainer's responsibility to ensure that their horse's workouts are confirmed with the clockers.

All first-time starters: at least 3 works in the last 45 days; one of which must be at least ½ mile. This rule also applies to two-year-olds. All horses must be gate approved prior to entry and trainers are asked to prove gate approval if coming from outside tracks or training centers.

Horses which have not started in 90 days will be required to have 3 works in the 90 days of preceding their start date. At least 2 of those works must be in the 60 days preceding their start date; one of the works must be at least a half mile. There must be at least one 3-furlong work or greater in the 30 days preceding of their start date.

Horses which have not started in 60 days will be required to have 2 works in the 60 days preceding their start date. One of the works must be at least a half mile. There must be at least one 3-furlong work or greater in 30 days preceding of their start date.

Horses which have not started in 30 days will require 1 work of 3 furlongs or greater in the 30 days preceding their start date.

Poor Performance List: Horses beaten 25 or more lengths or beaten 12 lengths or more in 5

consecutive starts, at stewards' discretion shall be placed on the poor performance list and must meet the requirements as follows. A published work a half mile in 53 seconds or less or 5 furlongs in 1:05 or less to be taken off the list and be eligible to enter.

Imported horses: The workout requirements will be waived for horses entering in a race within 14 days of clearing the USDA quarantine, provided that the country of origin's racing jurisdiction has no provision for timed workouts on a regular basis. After the 14 days have elapsed, imported horses must meet the NYRA workout requirements listed above.

Trainers are responsible for ensuring that workouts get recorded. No horses are allowed to enter pending a recorded workout. Please confirm a workout has been published prior to entering.

NYRA recognizes workouts at any accredited training center or racetrack. NYRA does not recognize workouts that are not published by Equibase or from unrecognized training centers like Westampton Farms Training Center. Workouts at these facilities are not recognized in order to establish eligibility to run at NYRA tracks.

Refer to the NYRA House Rules for all safety rules and protocols during morning training hours.

Belmont Clockers
Main track: (929) 659-0193
Training track: (929) 659- 0225

Training Rules and Protocols

Communication With NYRA

NYRA employs a text system to provide updates and notifications to trainers. Trainers can request to be added to the system by texting NYRARACING to 80925. Notifications include but are not limited to: hours of operation for training, schooling hours, break times, changes and updates during inclement weather.

Training Rules

Racing Participants engaged in training activities must always adhere to the direction of Outriders, NYRA personnel, and Stewards

All Racing Participants engaged in training activities shall follow the posted rules for each track, including but not limited to: jogging, gates and ponying.

Safety Protocols

When the siren and warning lights are on, the track is closed for training and all horses and riders during a breeze, gallop or workout should ease their horse to the best of their ability while exiting the track as safely as possible.

Outriders will notify track veterinarians, first responders, and security and safety personnel of any incident during training. The NYRA Safety Steward will document the incident.

When there is a human or equine ambulance on the track, no rider or horse should proceed past or around the location of the incident.

Cell phone use is prohibited while mounted on horseback.

Exercise riders and trainers will be subject to a BAVP hearing for violations of training or safety rules by order of the Stewards.

Track Rules

All horses working or breezing must do so on the inside portion of the track.

All horses galloping must do so in the middle portion of the track; always aware of horses to the inside.

All horses jogging must stay to the outside portion of the track; always aware of horses to the inside.

All backtracking going to the gaps must stay to the outside portion of the track.

Gate Schooling

If a horse is gate schooling to be removed from the Starter's List, the horse's trainer or trainer's representative must be present.

Trainers or their representatives are encouraged to accompany their horses for gate schooling at all times.

Trainers or representatives do not need to be present for horses standing in the gate only. Horses must have a mounted rider to be allowed to stand in the gate.

Clockers' Stand

Nobody is permitted in the Clockers' Stand except for official timekeepers and other racing officials or authorized NYRA employees.

Horsemen's Services

The NYRA Horsemen's Relations office is here to assist owners and trainers with their race day needs. Every effort will be made to accommodate horsemen with horses in that race day. If there is availability, non-race day participants will also be accommodated. Please visit the NYRA website for further details at nyra.com.

Davis Klein, Interim Horseperson Liaison
(646) 772-5983
dklein@nyrainc.com

Horsemen's Bookkeeper and Financial Policies

The Horsemen's Bookkeeper's office will be open on racing days from 9:30 am until the running of the last race.

Owners and trainers are required to file their complete address information, U.S. Tax reporting numbers, jockey fees, jockey insurance fees and all stakes engagement fees prior to post time of their race.

The following documents are required for operating your racing account: Signature Card (signed and notarized), Tax Forms (W9 for US Citizens, W8ECI for foreign accounts) and a copy of valid driver's license (US Citizens) or a copy of passport (foreign accounts). Appropriate forms are available from the Horsemen's Bookkeeper.

Purse monies will be available two days after the date of the race. In order to obtain funds, the above documentation must be on file.

Unless otherwise noted in the Condition of the Race, Overnight Purses shall be divided as follows:

- First Place: 55%
- Second Place: 20%
- Third Place: 12%
- Fourth Place: 6%
- Fifth Place: 4%
- Sixth Place: 3%

Once your account is set up and in good standing, we encourage you to sign up for the IFS online where you can request web checks and electronic ACH withdrawals from your account in an easy and safe manner. Check requests and one-time ACH withdrawals can still be made by fax with a signed request sent to FAX: 718-744-9796. ACH transfers take two to three days (business days) and are available for funds of \$25,000 or greater. Please contact the office for proper request form. (Contact information is provided below in this section.)

Check requests must be signed by the managing partner, a signer on the signature card for the account or someone with a Power of Attorney on file in the Horsemen's Bookkeeper's office. Due to New York State privacy laws only these parties will be able to withdraw funds or have access to information regarding the account.

Claiming

In order to sign a claim slip you must be an authorized agent to claim with the Jockey Club. Authorized agents must also be licensed as such by the NYSGC. In order to deposit cash into an account you must be managing partner, authorized agent or have Power of Attorney over the account. All horsemen should check that the appropriate funds are on account before dropping a claim slip. Cash deposits made into owner accounts by authorized agents are for claiming purposes only and are limited to \$10,000.

Owners and trainers, please be advised that horsemen who do not have the required paperwork (signature card, tax forms, photo ID) on file with the Horsemen's Bookkeeper will not be able to use or withdraw any purse money until said paperwork is on file.

New York Jockey Insurance Compensation Fund Premiums

The base premium for the New York Jockey Insurance Compensation Fund is due at time of entry for both owner and trainer. Nomination, entry, starting fees and jocks mount fees should be on account prior to post time of your race.

For information contact:

Denise Spampinato, Horsemen's Bookkeeper
(917) 599-9155
dspampinato@nyrainc.com

Stephany Gutierrez, Assistant
(917) 599-9155
sgutierrez@nyrainc.com

Wire Information

See the Horsemen's Bookkeeper's Office for wire information.

Please note that international funds can take from 3-5 business days before they are credited to your account. Include owner's account name and account number.

Please notify the Horsemen's Bookkeeper by phone prior to sending funds via wire to ensure proper credit to your account. Funds required for a given day should be wired at least one day in advance. Wires are not accepted on weekends or holidays.

Check Cashing Policy

Any person who is responsible for a check that is returned for "insufficient funds" will receive a warning in the 1st instance and be responsible for paying said funds and administrative fees before check cashing privileges are reinstated. If a second offense occurs, check cashing privileges shall be revoked.

Federal Fair Credit Reporting Act

In making an application for employment or to otherwise participate in thoroughbred racing, it is understood that an investigative report may be made whereby information is obtained through personal interviews with third parties, such as family members, business associates, financial sources, friends, neighbors or others with whom you are acquainted.

This inquiry includes information such as your character, general reputation, personal characteristics, and mode of living, where it may be applicable.

You have the right to make a written request within a reasonable period of time for a complete and accurate disclosure of additional information concerning the nature and scope of the investigation.

Animal Safety and Welfare

Anti-Slaughter

In the event NYRA receives credible and verifiable information regarding a Racing Participant who knowingly, or without conducting proper due diligence, buys or sells a horse for slaughter, directly or indirectly, or who is associated with the shipment of horses for the purposes of slaughter, will lose stabling and racing privileges and may be subject to exclusion from NYRA property. NYRA highly encourages Racing Participants to obtain proper written documentation on the sale or transfer of any horse that they previously raced or was stabled on the NYRA grounds, and NYRA reserves the right to require a trainer(s) or individuals responsible for a horse to provide such documentation. Failure to cooperate under this section may lead to loss of stabling, racing privileges and/or possible exclusion from NYRA property.

Horse Retirement

Horsemen and their employees are required to conduct due diligence on those buying horses

and NYRA encourages them to support rescue and adoption efforts and to find humane ways of dealing with horses unable to continue racing.

Attending Veterinarian

Per HISA rule 1020, an "Attending Veterinarian" is defined as "a veterinarian providing treatment or services to Covered Horses hired or authorized by the Trainer or Owner or his or her respective designee."

It is the sole responsibility of the trainer to ensure that a licensed veterinarian is available at all times to ensure the health and welfare of such trainer's horses and to attend to trainer's horses at all times such horses are on NYRA-operated grounds in any and all emergency situations.

Each horse entered to race must have an Attending Veterinarian on the grounds during the race day who is available in the event that a horse needs veterinary attention. The assigned attending veterinarian should remain onsite and be available until their horse leaves the test or claim barn (if applicable) and has returned to its stall.

Mistreatment of Animals

Any Racing Participant who handles or treats any animal without regard for the well-being of the animal or causes physical injury or pain or suffering to the animal may lose stabling and/or racing privileges and/or be subject to exclusion from NYRA property. Mistreatment includes euthanasia against veterinarian recommendation, excessive training, excessive whip use outside of a race, or other conduct that, in the sole discretion of NYRA, jeopardizes animal safety or welfare.

Horses Shipping Out of NYRA Racetracks

Trainers and owners are responsible for providing NYRA with the exact location of the destination of horses that are leaving NYRA property per HISA rule 2143: Racehorse Monitoring. Trainers and owners shipping horses are responsible for performing the required due diligence to ensure horses in their care are transported in safety by humane methods. Failure to prevent the shipping of horses via cargo ship will result in the immediate removal of the associated owner or trainer's horses from NYRA-operated grounds.

Workers' Compensation

Trainers are responsible for workers' compensation insurance to cover stable employees (grooms, hot walkers, foreman, assistant trainers, etc.). The policy must be on file with the New York State Gaming Commission office.

Trainers and owners are responsible for worker's compensation to cover all exercise riders and jockeys at NYRA racetracks through the New York Jockey Injury Compensation Fund.

Release And Indemnification

The applicant assumes the risk of and releases and waives NYRA for any and all claims for loss, loss of use, injury or damage to horses owned or under the control of the applicant or the

applicant's invitees incurred while on any NYRA premises, including when such horses are being vanned by NYRA between its facilities unless such injury, loss or damage is caused by NYRA's negligence; releases and waives NYRA from any and all claims for injury personally suffered by the applicant while galloping/ponying horses on the premises; and the parties hereto further agree that each shall be responsible for their own negligent acts and omissions as well as those of their employees, agents and express invitees to the same extent as provided by the law.

The provisions of the preceding paragraph shall not be deemed waived or affected in any way by the fact that NYRA does or does not presently or may or may not in the future, carry insurance coverage against claims or losses caused by or resulting from damage or injury to property, persons and/or horses while competing on the racetrack, using the training tracks, stables, roads or any facilities or while on the premises of NYRA or in transit in vehicles owned, leased, contracted for, operated or controlled by NYRA.

The New York Jockey Injury Compensation Fund (NYJICF) 2026 Workers' Compensation Premium Assessment and Formula

This is the NYJICF's Assessment Plan for 2026 (2026 Assessment Plan) and, consistent with the last few years, continues to be structured on New York Racing Association (NYRA)-based horsemen contributing 86% of the NYJICF's annual cost, which is estimated to equal \$7,660,399, and Finger Lakes Gaming and Racetrack (FLRA)-based horsemen contributing 14% of the NYJICF's annual cost, which is estimated to equal \$1,247,042.

We note that 2026 Assessment Plan approved by the New York State Gaming Commission (Gaming Commission) is based on the NYJICF having the authority (i) to use up to \$2 million from the NYRA purse cushion fund and (ii) to deduct and use 2% of purses from an owner's share of purses.

NYRA Owners assessment and payment is a \$1337.50 base premium, plus 2% of purses earned.

FLRA Owners assessment and payment is a \$3,274.20 base premium, plus 2% of purses earned.

Each race with a purse over \$1,000,000 will be capped at \$1,000,000 for Workers' Compensation assessment purposes only.

NYRA Trainer assessment and payment is a \$1337.50 base premium plus:

NYRA trainers will pay a per stall charge of \$2.14 per day for each stall allotted and accepted during each stall allotment period as designated by the track where each trainer races, provided that the first 12 stalls allotted and accepted will be free and the per stall charge for each stall allotted and accepted in excess of 12 will be \$2.14 per day.

FLRA Trainer assessment and payment is a \$3,274.20 base premium plus:

FLRA trainers will pay a per stall charge of \$1.93 per day for each stall allotted and accepted during each stall allotment period as designated by the track.

These premium charges will be due as follows:

NYRA and FLRA Owners and Trainers base premium charge is due:

- a. At NYRA, for those trainers already stabled on the grounds: \$1337.50 on or before January 1, 2026.
- b. At NYRA, for those owners already stabled on the grounds: \$1337.50 before they run their first horse in 2026.
- c. For those trainers shipping in: upon entering the horse on the grounds based on the "shippers" per start schedule set forth below.
- d. For those owners shipping in: before they run their first horse in 2026 based on the "shippers" per start schedule set forth below.
- e. At FLRA, for trainers: upon entering the horse on the grounds: \$1,930 on or before April 1, 2026, and an additional \$1,344.20 (for a total of \$3,274.20) to be paid on or before June 1, 2026.
- f. At FLRA, for owners: \$1,930 before they run their first horse in 2026 through May 31, 2026, and an additional \$1,344.20 (for a total of \$3,274.20) to be paid on or before June 1, 2026.

Anything in this 2026 Assessment Plan to the contrary notwithstanding, FLRA's 14% of the NYJICF's cost, which is estimated to equal \$1,247,042, will be paid by FLRA in eight equal installments in the amount of \$155,880.25 between April 2026 and November 2026.

NYRA and FLRA Owners and Trainers

The stall charges will be due on the last day of the first month of each allotment period, for example: January 31 for winter 2026 at NYRA and thirty days after the opening of the stable area for the spring meeting at FLRA.

If the trainer accepts stalls for the summer meeting but ships in three weeks before that meeting begins, that trainer will be responsible for the entire summer meeting plus \$2.14 per day (\$1.93 at FLRA) times the number of stalls allotted, times the number of days that the trainer occupied the stalls prior to the summer meeting, provided that the first 12 stalls allotted and accepted at NYRA tracks will be free.

Owners/Trainers will be required to pay the base premium charge of \$1337.50 at NYRA and \$3,274.20 at FLRA, plus 2% of purses earned, plus the stall allotment charge.

Private Trainers will be responsible for the base premium of \$1337.50 at NYRA and \$3,274.20 at FLRA. The owner employing that trainer (whose payroll the exercise riders are on) will be responsible for the stall charge plus 2% of purses earned.

Shippers. At NYRA, owners and trainers will be charged \$133.75 each per start for their first ten starts (for a maximum of \$1337.50 for 2026). At FLRA, owners and trainers will be charged \$193.00 each per start for their first ten starts (for a maximum of \$1,930 for 2026). Owners will also be charged 2% of purses earned. Shipping trainers will only be charged a stall fee if they are assigned stalls.

A suitable stall count to be determined by the racing secretary at the current stall assessment rate (\$2.14 at NYRA tracks (except the first 12 stalls allotted and accepted will be free for 2026) and \$1.93 at FLRA) will be assessed to those horsemen stabled off of the racetrack proper and who have daily access and use of the racetrack facilities.

Surcharge. Each trainer, upon such trainer's second claim and any subsequent claim filed with the workers' compensation insurance carrier in 2026, shall be charged a per-claim handling surcharge based upon the total number of horses then currently trained on the date of such claim(s) (as measured by the number of stalls allocated statewide as of the date of the claim for which a surcharge is to be collected), as follows: 1 to 10 horses: \$200; 11 to 30 horses: \$400; and 31 or more horses: \$600.

General Information

Should NYJICF determine that the amounts which are actually collected from (i) NYRA is inadequate to cover 86% of the NYJICF's annual cost, which is estimated to equal \$7,660,399 and/or (ii) FLRA is inadequate to cover 14% of the NYJICF's annual cost, which is estimated to equal \$1,247,042, respectively, then the NYJICF will seek additional funds pursuant to the Racing, Pari-Mutuel Wagering and Breeding Law Section 221 (7).

- For determining the responsibility for payment of workers' compensation, an owner is the person whose account would be debited or credited when a horse runs. In the case of multiple ownership, this would be the managing partner.
- In order for trainers to reduce their stall charge, they must officially return stalls to the proper association, stall man or racing secretary within 10 days after assuming the stalls.
- The charge per stall is in no way to be construed as a payment for stall rent. This is only a method which the NYJICF uses to estimate the appropriate premium charge that is due.

For those racing at NYRA:

Please send checks for the base premium payable to:

New York Racing Association, Inc.

PO Box 170243

Ozone Park, NY 11417

Attention: Horsemen's Bookkeeper

or

Call the Horsemen's Bookkeeper at 718-687-4843 to have that amount debited from your account.

For those racing at FLRA:

Please send checks for the base premium payable to:

Finger Lakes Gaming and Racetrack, Inc.

PO Box 25250

Farmington, NY 14425

or

Call the Horsemen's Bookkeeper at 585-924-3004 after April 1, 2026 to have that amount debited from your account.