



The New York Racing Association

Horse Security and Surveillance Policies & Protocols

Effective Date: April 1, 2025

The New York Racing Association (NYRA) creates world-class experiences that advance the sport of horse racing with integrity, safety and innovation. NYRA strives to promote athlete safety and uphold the integrity of the racing product to ensure fair and safe contests for all participants.

In addition to NYRA-specific security and surveillance protocols, NYRA follows the Anti-Doping and Medication Control Program (ADMC) under regulation of the Horseracing Integrity and Safety Authority (HISA) and the Horseracing Integrity & Welfare Unit (HIWU).

Failure to comply with NYRA Horse Security and Surveillance protocol could result in disciplinary action by the NYRA Steward.

NYRA Horse Security and Surveillance comprises three programs: In-Today, Enhanced Horse Surveillance, and Dedicated Horse Watch.

In-Today Program

The NYRA In-Today Program covers the security and surveillance of all horses entered to race at a NYRA facility. Entered horses are identified with stall assignments and monitored by patrolling security officers.

Security officers will patrol the shedrows of barns with In-Today horses and report and investigate any suspicious activity. This includes the presence of Attending Veterinarians or other visitors during uncommon hours.

Trainers are responsible for placing an *In Today* plaque or stall webbing on the stall of each entered horse and ensuring horses report to Assembly Barn as directed by the NYRA Racing Office or NYRA Security. Designated times will be given to trainers for all races. Horses that arrive late to the Assembly Barn are subject to being scratched or fined by the NYRA Steward.

Trainers are responsible for all other pre-entry and post-entry requirements for racing as published by NYRA, HISA and HIWU, including but not limited to submitting an Attending Veterinarian Agreement and ensuring that pre-entry examinations are performed and submitted by their Attending Veterinarian.

Enhanced Horse Surveillance

NYRA reserves the right to designate races for Enhanced Horse Surveillance. Races under NYRA Enhanced Horse Surveillance are subject to additional security and surveillance beyond the security patrols outlined in the In-Today Program. Horses entered in Enhanced Horse Surveillance races will have an increased security guard presence and must follow stricter protocols regarding stabling, visitors and veterinary oversight. NYRA will notify horsemen if they are entering a race with Enhanced Horse Surveillance.

In addition to the protocols outlined in this policy, NYRA will distribute a schedule to horsemen entering a race with Enhanced Horse Surveillance with specific date and time information for the event. Horsemen shall acknowledge and sign Enhanced Horse Surveillance Information distributed for each race.

Horses must be on the grounds no later than 72 hours prior to scheduled post time. Trainers are responsible for placing specific stall identification signs for entered horses, provided by NYRA. Horses shall remain in the trainers' current barns and/or designated stalls.

Dedicated Security Officers will monitor each horse during the eight (8) hours preceding post-time. All staff and visitors must provide a valid NYSGC license; NYRA Identification Badges will also be required. Security will maintain a log with the date, time, name, and reason for each visitor, including but not limited to: trainers and staff, veterinarians, farriers, and owners or other connections.

Security Officers will report and investigate any suspicious activity. All equipment, feed, hay bales, supplies, topical applications, containers, boxes, bags, etc. are subject to search and seizure.

On race day, all horses must report to the Assembly Barn as directed by the NYRA Racing Office or NYRA Security. As with all NYRA races, horses that arrive late to the Assembly Barn are subject to being scratched or fined by the NYRA Steward.

Veterinary Requirements

Trainers must have a current Attending Veterinarian Agreement on file with the NYRA Racing Office at the time of entry. Trainers must submit a list of approved Veterinarians (members of the Attending Veterinarian's practice) that are authorized to enter the stalls of horses entered in an Enhanced Surveillance race.

For each horse, the Trainer's Attending Veterinarian shall perform a physical examination and attest that each horse is sound and fit to race at the time of entry. Attending Veterinarian Entry Exams must be completed and submitted via electronic medical records/HISA portal. Entries need to appear in the record as Mandatory Attending Vet Inspection or Vet Inspection with a note of entry exam.

Exams may be submitted up to 72 hours preceding entry until 11:00 am ET the day after entry. NYRA will provide until 5:00 pm ET the day after entry for a trainer to review the portal and confirm that the exam is properly recorded.

Attending Veterinarians on NYRA grounds between 8:00 pm ET and 4:00 am ET must be escorted by NYRA Security at all times.

Horses under Enhanced Horse Surveillance may be monitored by NYRA Veterinarians. NYRA Veterinarians reserve the right to conduct soundness exams on any Enhanced Horse Surveillance horse.

HISA and HIWU Requirements

Trainers shall consult with their Attending Veterinarian for questions regarding the Anti-Doping and Medication Control Program and related medication rules.

All syringes, containers, bottles, or supplies used for, or in conjunction with, medication administration, will be subject to retainment by NYRA for possible testing by HIWU, at HIWU's sole discretion.

Horses competing in all races are subject to out-of-competition testing under the direction of HIWU.

Use of electrical medical therapeutic devices including magnetic wave therapy, laser, electro-magnetic blankets, boots, electro-shock, or any other electrical devices that may produce an analgesic effect is prohibited within 48 hours of a training activity or of the start of the published post time for which a Horse is scheduled to race.

Dedicated Horse Watch

The NYRA Dedicated Horse Watch program deploys additional security for select Grade 1 races with a minimum purse of \$1,000,000 or other races designated by NYRA. Horses entered in Dedicated Horse Watch races will have dedicated security guards and must follow stricter protocols regarding stabling, visitors and veterinary oversight. NYRA will notify horsemen if they enter a Dedicated Horse Watch race.

In addition to the protocols outlined in this policy, NYRA will distribute a schedule to horsemen entering a Dedicated Horse Watch race with specific date and time information for the event. Horsemen shall acknowledge and sign Dedicated Horse Watch Information distributed for each race.

Horses must be on the NYRA grounds no later than 72 hours prior to scheduled post time. Horses shall remain in the trainers' current barns and/or designated stalls. NYRA will provide specific stall identification for entered horses that must be in place no later than 72 hours prior to post time.

Security Officers will monitor each horse during this time and maintain stall entry/exit logs. All staff and visitors must provide a valid NYSGC license; NYRA Identification Badges will also be required. Security will maintain a log with the date, time, name, and reason for each visitor. Trainers and their staff will require an initial log in and will be monitored. Additional visitors, including but not limited to veterinarians, farriers, owners or other connections, shall be logged at each visit.

Security Officers will report and investigate any suspicious activity. All equipment, feed, hay bales, supplies, topical applications, containers, boxes, bags, etc. are subject to search and seizure.

On race day, all horses must report to the Assembly Barn as directed by the NYRA Racing Office or NYRA Security. As with all NYRA races, horses that arrive late to the Assembly Barn are subject to being scratched or fined by the NYRA Steward.

Veterinary Requirements

Trainers must have a current Attending Veterinarian Agreement on file with the NYRA Racing Office at the time of entry. Trainers must submit a list of approved Veterinarians (members of the Attending Veterinarian's practice) that are authorized to enter the stall in the 72-hour period.

For each horse, the Trainer's Attending Veterinarian shall perform a physical examination and attest that each horse is sound and fit to race at the time of entry. Attending Veterinarian Entry Exams must be completed and submitted via electronic medical records/HISA portal. Entries need to appear in the record as Mandatory Attending Vet Inspection or Vet Inspection with a note of entry exam.

Exams may be submitted up to 72 hours preceding entry until 11:00 am ET the day after entry. NYRA will provide until 5:00 pm ET the day after entry for a trainer to review the portal and confirm that the exam is properly recorded.

Attending Veterinarians must make an appointment with NYRA Security prior to treating a Dedicated Horse Watch horse. NYRA Security must be present if any Dedicated Horse Watch horse is administered medication in the 72-hour period prior to post time.

Attending Veterinarians on NYRA grounds between 8:00 pm ET and 4:00 am ET must be escorted by NYRA Security at all times.

Dedicated Horse Watch horses may be monitored by NYRA Veterinarians at all times, including during training hours. NYRA Veterinarians reserve the right to conduct soundness exams on any Dedicated Horse Watch horse.

HISA and HIWU Requirements

Trainers shall consult with their Attending Veterinarian for questions regarding the Anti-Doping and Medication Control Program and related medication rules.

All syringes, containers, bottles, or supplies used for, or in conjunction with, medication administration, will be subject to retainment by NYRA for possible testing by HIWU, at HIWU's sole discretion.

Horses competing in all races are subject to out-of-competition testing under the direction of HIWU.

Use of electrical medical therapeutic devices including magnetic wave therapy, laser, electro-magnetic blankets, boots, electro-shock, or any other electrical devices that may produce an analgesic effect is prohibited within 48 hours of a training activity or of the start of the published post time for which a Horse is scheduled to race.